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4-18-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Alex D. Poon

Examiner: Unassigned

Application No.: 09/730,034

Art Unit: 2152

Filed: December 4, 2000

For: Method And Apparatus For
Facilitating User Registration In An
On-Line Auction Environment

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Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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 A statement pursuant to 37 C.F.R. §1.97(e) or

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Respectfully submitted,

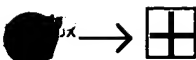
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4-10 , 2002

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		Application Number	09/730,034
		Filing Date	December 4, 2000
		First Named Inventor	Alex D. Poon
		Group Art Unit	2152
		Examiner Name	Unassigned
Sheet 1 of 10	Attorney Docket Number	003801.P011	

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mt		3,581,072	Nymayer	5/25/1971	3/28/1968
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mt	PCT	WO 92/15174 /	2/25/1992	Beaumont-Maxin International	9/3/1992	
	PCT	WO 96/34356 ✓	4/26/1996	Fleanet, Inc.	10/31/1996	
	PCT	WO 97/37315 ✓	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS		
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mt	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
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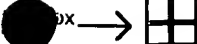
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		Office or Country	Number	Date			
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		France	2658635-A1 ✓	2.6.1991			
		Canada	2,253,543 ✓	3/19/1997			Yes

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[Signature]	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
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Examiner Name	Unassigned				
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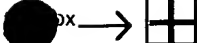
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	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
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[Signature]	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
[Signature]	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
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[Signature]	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

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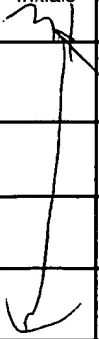
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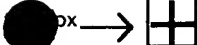
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OTHER DOCUMENTS		
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<div style="font-size: 2em; margin-bottom: 10px;">32</div> <div style="font-size: 2em;">35</div>	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	

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		Office ³	Number ⁴	Kind Code ⁵ (If known)				
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mt		WIPO	PCT/US 01/12398	Int'l. Search Report	eBay, Inc.	08-27-2001		

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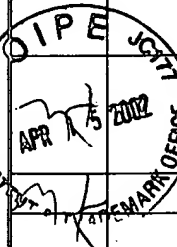
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

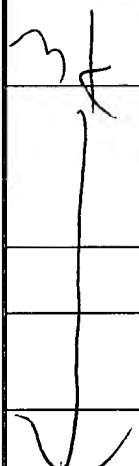
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 10 of 10	Attorney Docket No.: 003801.P011	Application Number: 09/730,034
		First Named Inventor: Alex D. Poon
		Filing Date: December 4, 2000

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		6,104,815		Alcorn et al.	08-15-2000	
		6,202,051		Woolston	07-13-2001	
		6,266,651		Woolston	07-24-2001	

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		BUSINESS EDITORS, "Mediappraise: Mediappraise Receives National Award for Web-Based Technology That Enables Companies to Solve Thorny HR Problems," 12/14/98, 2 pgs., www.businesswire.com .	
		BUSINESS EDITORS/COMPUTER WRITERS, "ONSALE: ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet Retail Service Debuts With Week-Long Charity Auction For The Computer Museum in Boston," 5/24/95, 3 pgs., http://www.dialogweb.com/cgi/dwclient?dwcommand=DWEBPRINT%20810-489267	
		MEADE, J., "Visual 360: A Performance Appraisal System That's 'Fun' (Evaluation)," HRMagazine, 44, 7, 2 pgs., July 1999, Society for Human Resource Management.	
		PREIST, C., et al., "Adaptive Agents in a Persistent Shout Double Auction," Proceedings, 1 st International Conf. on Information and Computation Economies, October 25-28, 1998, pgs. 11-18, Charleston, N.C.	
		RESNICK, P., et al., "Reputation Systems," December 2000, Assoc. for Computing Machinery, Communications of the ACM, vol. 43, n. 12, 4 pgs.	
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		Number	Kind Code ² (If known)			
		5,799,285		Klingman	08-25-1998	
		5,803,500		Mossberg	09-08-1998	
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		6,085,176		Woolston	07-04-2000	

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